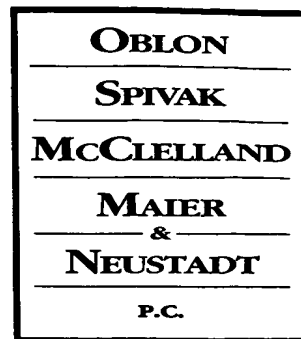




DOCKET NO: 211952US2

COMMISSIONER FOR PATENTS
Alexandria, VA 22313



ATTORNEYS AT LAW

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RE: U.S. Application
Serial No: 09/911,392
Filed: July 25, 2001
Inventor: Toshiyuki Suzuki et al.
For: Piezoelectric Resonator, Piezoelectric . . .

SIR:

Attached hereto for filing are the following papers:

PETITION UNDER 37 CFR 1.181
COPY OF IDS FILED 9/24/2001

Our check in the amount of \$ --0-- is attached covering any required fees. In the event that any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is attached.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

CUSTOMER NUMBER:
22850

Tel. No.: (703) 413-3000
Fax No.: (703) 413-2220
GJM:EDG:eac

Gregory J. Maier
Attorney of Record
Registration No. 25,599
Edwin D. Garlepp
Registration No. 45,330

COPY

Docket No. 211952US2



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :
TOSHIYUKI SUZUKI ET AL. :
SERIAL NO: 09/911,392 : GROUP ART UNIT: 2834
FILED: JULY 25, 2001 : EXAMINER: ADDISON, K.
FOR: PIEZOELECTRIC RESONATOR, PIEZOELECTRIC RESONATOR
COMPONENT AND METHOD OF MAKING THE SAME

PETITION UNDER 37 C.F.R. §1.181(a)(3)

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

Applicants herein petition the Commissioner in order to obtain consideration and acknowledgment on the record of the Information Disclosure Statement (IDS) filed on September 24, 2001.

The noted IDS was filed in conformity with the requirements of 37 C.F.R. §1.97-1.98 on September 24, 2001. The above-referenced application has now been allowed. However, consideration of the references listed in the IDS was not indicated on the record insofar as an initialed PTO 1449 form was never mailed from the PTO. A copy of the IDS and a date-stamped filing receipt are attached. Applicants respectfully request that the examiner acknowledge consideration of these references by initialing the PTO 1449 form attached thereto and make the initialed PTO 1449 form of record.

COPY

Although Applicants do not believe that any fee is required for the present petition,
any required fee should be charged the undersigned attorneys account no. 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Gregory J. Maier
Registration No. 25,599
Edwin D. Garlepp
Registration No. 45,330
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(703) 413-3000
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COPY



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Dept.: E/M

OSMM&N File No. 211952US2

By: GJM/EDG/eac

Serial No. 09/911,392

In the matter of the Application of: Toshiyuki SUZUKI, et al.

For: PIEZOELECTRIC RESONATOR, PIEZOELECTRIC RESONATOR
COMPONENT AND METHOD OF MAKING THE SAME

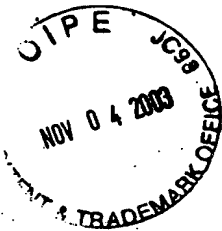
Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Letter/Cover
- Petition Under 37 CFR 1.181
- Copy of IDS filed 9/24/2001



COPY



LN/afp

OSMM&N File No. 211952US2

Dept.: PP

Serial No. 09/911,392

By: MJS/sdc

In the matter of the Application of: Toshiyuki SUZUKI, et al.

For: PIEZOELECTRIC RESONATOR, PIEZOELECTRIC RESONATOR
COMPONENT AND METHOD OF MAKING THE SAME

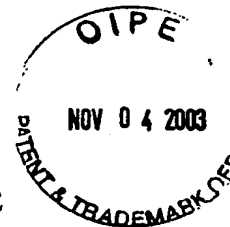
The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (7)
- ☐ Search Report
- ☒ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases ☐ Cited Pending Applications ()
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card



COPY

Docket No. 211952US2/sdc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Toshiyuki SUZUKI, et al.

SERIAL NO: 09/911,392

GAU: 2834

FILED: July 25, 2001

EXAMINER:

FOR: PIEZOELECTRIC RESONATOR, PIEZOELECTRIC RESONATOR COMPONENT AND METHOD OF MAKING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Marvin J. Spivak
Registration No. 24,913



22850

Tel. (703) 413-3000
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(OSMMN 10/98)

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DOCKET NO: 211952US2

Sheet 1 of 1

SERIAL NO: 09/911,392

Group Art Unit: 2834



STATEMENT OF RELEVANCY

References AO through AT on Form 1449:

These references are discussed in the specification.

COPY

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 OIPE
 JC98
 PATENT OFFICE

SHEET 1 OF 1

Form PTO 1449
 (Modified)

U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE

PATENT APPLICATION NO.
 211952US2

SERIAL NO.
 09/911,392

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Toshiyuki SUZUKI, et al.

FILING DATE

July 25, 2001

GROUP

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,241,908	06/05/2001	M. HIROSE, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	60-123120	07/01/85	JAPAN		X
	AP	1-236715	09/21/89	JAPAN		X
	AQ	8-237066	09/13/96	JAPAN		X
	AR	10-135215	05/22/98	JAPAN		X
	AS	8-288291	11/01/96	JAPAN		X
	AT	11-340776	12/10/99	JAPAN		X
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY